

**Strengthen PSNP4 Institutions and Resilience (SPIR)**  
**Development Food Security Activity (DFSA)**  
**ENDLINE SURVEY: Household Questionnaire – January 20, 2020**

DRAFT: For Research Purpose only

**Outline:**

**Module A: Household identification and consent**

Part 1: Household identification, location, and consent

Part 2: Sample verification

**Module B: Household composition and characteristics**

Part 1: Household roster

**Module C: Sample structure and result of randomization**

Part 1: Sample structure and result of randomization

**Module Z: Household location (GPS recording)**

**Universal Codes (Include with all CAPI options):**

-97=Refuse to respond	-98=Don't know	-99= Not applicable
-----------------------	----------------	---------------------

## ENUMERATOR INSTRUCTIONS:

For this survey, use the following definitions of a household, household member, household head, index children and primary female and male respondents:

- **Household:** a group of people who live and eat together, share resources and form a common decision-making unit. Often, a household is composed of a head of household, spouse(s), their unmarried children, and possibly their relatives or other persons to whom they are unrelated. The household can be limited to only one person or a person with his or her children. In a polygamous household where all the spouses do not live in the same compound as their husband, each of the spouses living elsewhere will be listed as a separate household with the persons they live with (the spouse being the head of that household). A tenant who does not take his meals where he lives is considered as a separate household. In a case where a household head lives in a compound with a spouse or spouse(s) and their children, among which some are married, each of the married children with their spouse(s) and own children and other unmarried dependents under their responsibility are all part of the same single household. In this case, all three generations (and other unmarried dependents) belong to one household. In a group of unmarried people living together where everyone has his own means of livelihood, each member of the group will form his own household.
- **Household member:** anyone who met the criteria for being part of the household more than six of the last twelve months, as well as anyone who recently entered the household through birth or marriage to a household member.
- **Household head:** the individual who plays a leading role in household decision-making, particularly concerning farming, household economic activity and expenditures. Generally, the person identified by the household as the household head is accepted in this role for the survey.
- **Baseline index child (BIC):** The baseline index child for this study is a randomly selected child from the baseline survey who was between the ages of 0-35.9 months at baseline. The baseline index child should be 34-70 months of age at the time of the endline survey.
- **Midline index child (MIC):** The midline index child for this study is a sibling of the baseline index child between the ages of 0-23.9 months at midline, randomly selected during the midline survey. If the baseline index child did not have a sibling in this age range, the midline index child is a randomly selected child from the midline survey who is not the baseline index child and who is between the ages of 0-23.9 months at midline.
- **Endline index child (MIC):** The endline index child for this study, if available, is a randomly selected sibling of the baseline index child between the ages of 0-23.9 months at endline. If the baseline index child does not have a sibling in this age range, the endline index child is a randomly selected child from the endline survey who is **between the ages of 0-23.9 months at endline**.
- **Primary female respondent:** For panel households, the primary female respondent for this study is the mother of the baseline index child. If the mother of the baseline index child is not a member of the household, the primary female respondent will be the primary female caregiver of the baseline index child. If the mother/primary caregiver of the baseline index child is not a member of the household, the primary female respondent for this study is the mother of the midline index child. If the mother of the midline index child is not a member of the household, the primary female respondent will be the primary female caregiver of the midline index child. If the mother/primary caregiver of the midline index child is not a member of the household, the primary female respondent for this study is the mother of the endline index child, or if the mother is not a member of the household, the primary female caregiver of the endline index child.
- **Primary male respondent:** The primary male respondent for this study will be the spouse or partner of the mother of the baseline index child. If the mother of the baseline index child is not a member of the household, the primary male respondent will be the spouse or partner of the primary female caregiver of the baseline index child. If the mother or primary female caregiver of the baseline index child does not have a spouse or partner who is a member of the household, the primary male respondent is the spouse or partner of the mother or caregiver of the midline index child, and if they don't have a partner, the respondent is the spouse or partner of the mother or caregiver of the endline index child (in this priority order). If there's no such household member, the primary male respondent will be an adult male household member who plays a leading role in household decision-making, particularly concerning farming, household economic activity and expenditures. Adult males should be 15 years and older.

## Module A, Part 1: Household identification and consent

ENUMERATOR: Ask the questions below of the household head. If the household head is not available for interview, ask the spouse of the head or the next most responsible household member.

No.	Household Identification	Response	No.	Household Identification	Response
<b>HHID</b>	Household Census ID (10-digit number) [CAPI to prompt enumerator: "According to our records the head of this household is [HHH NAME]. At midline the primary female respondent was [PF NAME], and the index child was [BIC NAME]. Please confirm."]	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<b>A06m</b>	Month of the first visit (mm)	<input type="text"/> <input type="text"/>
<b>A07</b>	Code of Interviewer	<input type="text"/> <input type="text"/>	<b>A05</b>	Implementing partner ( <i>preloaded</i> )	1. ORDA 2. CARE 3. World Vision
<b>A08</b>	Code of Supervisor	<input type="text"/> <input type="text"/>	<b>A09</b>	Name of household head ( <i>preloaded</i> )	
<b>A16</b>	Is this household part of the supplemental sample? ( <i>preloaded</i> )	Yes.....1 >> skip to A01/A01a No.....2	<b>A09a</b>	According to our records, [A09] was the name of the household head. Is [A09] still the head of the household?	<input type="text"/> 1. Yes >> skip to A10 <input type="text"/> 2. No
<b>A00a</b>	GPS coordinates, Latitude (minutes) ( <i>preloaded</i> )	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<b>A09b</b>	What is the name of the current household head? <i>If different from midline household head.</i>	
<b>A00b</b>	GPS coordinates, Longitude (minutes) ( <i>preloaded</i> )	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<b>A09c</b>	Why did the household head change since the midline (October 2019)?	1. Midline household head died 2. Midline household head left household 3. Wrong household head identified at midline 4. Household head changed for other reason >> if A16=1 skip to A10
<b>A00c</b>	GPS accuracy	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>			
<b>A01/A01a</b>	Region (code and name) ( <i>preloaded</i> )		<b>PF_NAME</b>	Name of primary female respondent from midline ( <i>preloaded</i> )	
			<b>PM_NAME</b>	Name of primary male respondent from midline ( <i>preloaded</i> )	
<b>A02/A02a</b>	Zone (code and name) ( <i>preloaded</i> )		<b>BIC_NAME</b>	Name of baseline index child ( <i>preloaded</i> )	
<b>A03/A03a</b>	Woreda (code and name) ( <i>preloaded</i> )		<b>MIC_NAME</b>	Name of midline index child ( <i>preloaded</i> )	
<b>A04/A04a</b>	Kebele (code and name) ( <i>preloaded</i> )	If A16=1 >> skip to A06	<b>A10</b>	Religion of household head	<input type="text"/> 1. Orthodox <input type="text"/> 2. Muslim <input type="text"/> 3. Protestant <input type="text"/> 7. Other

No.	Household Identification	Response		No.	Household Identification	Response	
A05b	Has household moved since midline?	<input type="checkbox"/>	1. Yes 2. No >> skip to A06	A11	Main language of household head	<input type="checkbox"/>	Code a
A05c	Household's current Woreda (code and name)	<input type="checkbox"/> <input type="checkbox"/>		A12	Ethnic group of household head		Code b
A05d	Household's current Kebele (code and name)	<input type="checkbox"/> <input type="checkbox"/>		A13	What is the relationship of the respondent to the household head?		Code c >>if 1 then skip to A15d
A06	[Enumerator: Are you able to track and interview the household?]	<input type="checkbox"/>	1. Yes >> skip to A06d 2. No	A14	Name of respondent (if different from household head)		
A06a	[Enumerator: Why were you not able to interview the household?]	<input type="checkbox"/>	1. Found but refused to start interview 2. Found but temporarily unavailable 3. Found but permanently unavailable 4. Found some members but household has dissolved 5. Household moved outside survey area 6. Other, specify	A15d	Day of the second visit (dd)		
				A15m	Month of the second visit (mm)		
				A16a	Location of the interview		1. At home >> skip to next module 2. At central location, called by survey team 3. At another location, specify
				A16b	By what means did you travel for the interview?		1. By foot 2. By bicycle 3. By motorbike 4. By car/bus 5. Other
A06d	Day of the first visit (dd)	<input type="checkbox"/> <input type="checkbox"/>		A16c	How long did it take your household to travel to the location for the interview?		Minutes

<b>Code a: Language</b>	<b>Code b: Ethnicity</b>	<b>Code c: Relationship to household head</b>	Niece/Nephew .....8
Agew-Hemrigna (Kamingigna).....3	Agew-Hemra (Kameng)..... 3	Household head..... 1	Household head's cousin.....9
Amharic .....5	Amhara (Gondere, Gayinte, Sayinte, Farte, Gojame, Dawinte, Wadle, Laste, Shewe, Tegulete) ..... 5	Spouse of household head..... 2	Father-in-law/mother-in-law .....10
Oromigna .....67	Oromo (Gujji, Borena, Tulema, Kereyu, Gelan, Lika, Becho.Abichu, Selale, Arsi, Raya, Mecha) ..... 67	Son/daughter .....3	Brother/Sister-in-law .....11
Other Ethiopian languages .....91	Other Ethiopian nationalities ..... 91	Daughter/son -in-law..... 4	Spouse's niece/nephew.....12
Other foreign languages .....93		Grandson/daughter.....5	Spouse's cousin.....13
		Father/mother..... 6	Primary caregiver.....14
		Brother/sister..... 7	Other.....15

## CONSENT OF PRIMARY RESPONDENT

[Note to CAPI and the enumerator: the consent statement and COVID-19 screening questions are administered on all primary respondents (female survey respondent, male survey respondent, and household survey respondent) should they not be the same person.]

Hi, my name is [NAME]. I am from Laterite Ltd, a research firm based in Addis Ababa. Together with the International Food Policy Research Institute (IFPRI), we are conducting a survey for research to learn about the health and wellbeing of people in Ethiopia, to provide information to improve services for people in your woreda and surrounding areas.

Your household may already have participated in an interview for this study [18] months ago, and we are calling now with a follow-up survey. Your participation in this research is completely voluntary. We will use approximately [120] minutes of your time. You are free to stop the interview at any time or to refuse to answer any question. There will be no risk because of your participation in the study. This study is conducted anonymously. Any information we obtain from you during the research will be kept strictly confidential.

We will ask you a few questions to screen symptoms related to COVID-19 and assess potential exposure. We will also take safety precautions to reduce the risk of spread of COVID-19 such as avoiding physical contact, maintaining a two meters physical distance, wearing face masks, conducting interviews outdoors when possible, sanitizing hands before and after the interview, cleaning data collection materials before and after the interview, wearing and providing you with disposable gloves before sharing data collection materials.

In line with public health guidelines, we will collect and retain your personal contact information to facilitate contact tracing processes in case of COVID-19 infection. Your personal contact information will be stored separately from the data collected.

Do not hesitate to ask me questions, and if you have questions about the research after this interview, you may contact Laterite's data team lead Fitsum Dagmawi on 0980635571. If you have questions about your rights as a participant in this study, you may contact Olivette Burton, coordinator of the IRB at IFPRI, which protects the rights of study participants, at tel: #001-202-862-5600.

### Contact Persons:

Fitsum Dagmawi	Dr. Dan Gilligan, IFPRI	Dr. Alemayehu Seyoum Taffesse, IFPRI
Address: Laterite, Addis Ababa, Ethiopia	Address: 1201 Eye St NW	Address: IFPRI - ESARO - ILRI
Tel: (0) 904104193	Washington DC, 20005, USA	P.O. Box 5689, Addis Ababa, Ethiopia
E-mail: fdagmawi@laterite.com	Tel: +1 202-862-5600	Tel: (0) 11-617-2500
	E-mail: d.gilligan@cgjar.org	E-mail: a.seyoumtaffesse@cgjar.org

consent	Do you agree to participate in the survey?	<input type="checkbox"/>	1. Yes 2. No >> Skip to module Not Consented
refreason	<i>[Enumerator: Discontinue interview and fill in the tracking sheet with information on interview status.]</i> Why did respondent not consent?	<input type="checkbox"/>	1. Survey is too sensitive 2. Too busy to participate 3. Currently sick, and unable to participate 4. Worried about personal privacy 5. Other, specify.....
COVID-19 screening questions:			
Q1	Did you newly develop any of the following symptoms in the last week:		
Q1a	Fever?	<input type="checkbox"/>	1. Yes

			2. No
Q1b	Cough		1. Yes 2. No
Q1c	Shortness of breath or difficult breathing		1. Yes 2. No
Q1d	Loss of taste or smell		1. Yes 2. No
Q2	Within the past 14 days, have you been in close physical contact (2 meters or closer for at least 15 minutes) with a person who is known to have laboratory-confirmed COVID-19 or with anyone who has any symptoms consistent with COVID-19?		1. Yes 2. No
Q3	Are you isolating because you may have been exposed to a person with COVID-19 or are worried that you may be sick with COVID-19?		1. Yes 2. No
Q4	Are you currently waiting on the results of a COVID-19 test?		1. Yes 2. No
Q5	Have you had a positive COVID-19 test for an active virus in the past 10 days?		1. Yes 2. No

CAP/enumerator instructions: Do not conduct the main survey with participants whose answer to at least one of the questions Q2 to Q5 is “Yes”, and to those who present with fever (Q1a==1).

For participants that only respond “Yes” to question Q1a (Q1a==1) and/or Q2 (Q2==1), enumerators will recommend them to self-isolate and call the designated toll-free number or the nearest health facility for guidance. The same recommendation can also be given to those who respond “Yes” to questions Q4 or Q5.

**Module B: Household composition and education**

**Module B, Part 1: Household Roster**

*ENUMERATOR: Ask the questions below of the household head. If the household head is not available for interview, ask the next most responsible household member.*

Member ID <i>(pre-loaded)</i>	Index child? <i>(pre-loaded)</i>  1. BIC 2. MIC	Member's name <i>(pre-loaded)</i>	Is [NAME] still a member of the household (he/she was [age] years when we first interviewed at midline in 2019)?  1. Yes 2. No	What is [NAME]'s sex?  <i>(preloaded)</i> 1. Male 2. Female	What is [NAME]'s age? (in years?)	What is [NAME]'s age in months?  <i>Ask if B1_03a &lt; 6</i>	What is the highest class that [NAME] passed in school?  (ages 6-18 years)	In what grade is [NAME] currently enrolled?  (ages 6-18 years)  -99. Not enrolled in school
ID	BIC	B1_00	B1_00a	B1_01	B1_03a	B1_03b	B1_06	B1_10
		Name		Code↑			Code c	Code c

<p><b>Code c: Education (Highest class passed)</b></p> <p>Never attended school ..... 96</p> <p>Reads in class I..... 0</p> <p>Completed class I..... 1</p> <p>Completed class II..... 2</p> <p>Put number of highest completed class. For example, if currently in class IV, put 3 (class III completed)</p> <p>Completed class X ..... 10</p> <p>Completed Senior High School/Completed Prep/TVEI/Grade 12 ..... 12</p>	<p>BA/BSC ..... 14</p> <p>Higher National Diploma ..... 15</p> <p>MA/MSC and above ..... 16</p> <p>Certificate..... 22</p> <p>Preschool class (general)..... 66</p> <p>Religious school..... 67</p> <p>Literacy/Adult programs ..... 68</p> <p>Other ..... 76</p>
---	---





**Module B, Part 1b: Household Roster (cont.)**

ENUMERATOR: Ask the questions below of the household head. If the household head is not available for interview, ask the next most responsible household member.

For each individual less than 14 years of age, ask the following question.

<p>ID Code <i>(Prefilled from Module B Part 1/1a ID)</i></p>	<p>What is [NAME]'s birth mother's name/ID? If the birth mother is not a member of the household, what is the name/ID of the primary female caregiver of [NAME]?</p> <p>-99 = Not applicable</p>
<p><b>ID</b></p>	<p><b>B1_04</b></p>

## Module C, Part 1: Sample structure and result of randomization

C1_01	ID of Baseline Index Child (BIC) ( <i>preloaded</i> )		ID
C1_02	Name of Baseline Index Child (BIC) ( <i>preloaded</i> )		Name
C1_02a	Age of baseline index child (BIC) ( <i>populate from roster</i> )		Age in months
C1_02b	<b>Please confirm:</b> Is [C1_02] still a household member?		1. Yes 2. No
C1_03	ID of primary female from midline ( <i>preloaded</i> )		ID
C1_04	Name of primary female from midline ( <i>preloaded</i> )		Name
C1_04a	<b>Please confirm:</b> Is [C1_04] still a household member?		1. Yes 2. No
C1_05	ID of primary male respondent from midline ( <i>preloaded</i> )		ID
C1_06	Name of primary male respondent from midline ( <i>preloaded</i> )		Name
C1_06a	<b>Please confirm:</b> Is [C1_06] is still a household member?		1. Yes 2. No
C1_08	ID of Midline Index Child (MIC) ( <i>preloaded</i> )		ID
C1_09	Name of Midline Index Child (MIC) ( <i>preloaded</i> )		Name
C1_09a	Age of midline index child (MIC) ( <i>preloaded</i> )		Age in months
C1_09b	<b>Please confirm:</b> Is [C1_09] still a household member?		1. Yes 2. No
C1_14	ID of Endline Index Child (EIC)  CAPI: Randomly select a sibling of the baseline index child between the ages of 0-23.9 months. If the BIC has no siblings in this age range randomly select an EIC from all household members of age 0-23.9 months, where B1_04!=. & B1_04!=98 (mother/female caregiver is a household member)		ID  -95 if there's no EIC >> skip to C1_18
C1_15	Name of Endline Index Child (EIC) ( <i>from randomization of roster</i> )		Name
C1_15a	Age of Endline Index Child (EIC) ( <i>populate from roster</i> )		Age in months
C1_16	ID of biological mother or primary caregiver of EIC ( <i>populate from B1a_04</i> )		ID  -95 if there's no mother/caregiver
C1_17	Name of biological mother or primary caregiver of EIC ( <i>populate from B1a_04</i> )		Name
CAPI: Ask C1_18—C1_19 if C1_04a=2 & (C1_14=-95 or C1_16=-95):			
C1_18	Is there another adult female member in the household?		1. Yes 2. No >> skip to C1_20
C1_19	What is the name of this female adult?		<i>Allow to choose from roster</i>
C1_19a	ID of this female adult ( <i>populate from roster</i> )		
CAPI: Ask C1_20—C1_22a if C1_06a==2:			
C1_20	Does [C1_17] have a spouse or partner who is a member of the household?		1. Yes >> skip to C1_22 2. No

	<i>Ask if C1_14!=-95 and C1_16!=-95</i>		
C1_21	Is there another adult male member in the household?		1. Yes 2. No >> skip to next module
C1_22	What is the name of this male adult?		<i>Allow to choose from roster</i>
C1_22a	ID of this male adult ( <i>populate from roster</i> )		
PF_NAME_EL	Name of primary female respondent for endline survey [CAPI instructions]: if C1_04a=1, then PF respondent is C1_04; if C1_04a!=1, C1_14!=-95 and C1_16!=-95, then PF respondent if C1_17; otherwise the PF respondent is C1_19..		
PM_NAME_EL	Name of primary male respondent for endline survey [CAPI instructions]: if C1_06a=1, then PM respondent is C1_06; if C1_06a!=1 then PM respondent is C1_22.		

### Module Z: Household location (GPS recording)

Z01	What is the best phone number to reach the household head?	
Z02a	What is the best phone number to reach the male respondent?	<i>Allow to choose the same number that was entered above.</i>
Z02b	What is the best phone number to reach the female respondent?	<i>Allow to choose the same number that was entered above.</i>
Z00a	GPS coordinates, Latitude (minutes) <i>If interview conducted at a central location, enter coordinates of the central location.</i>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Z00b	GPS coordinates, Longitude (minutes) <i>If interview conducted at a central location, enter coordinates of the central location.</i>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Z00c	GPS accuracy	<input type="text"/> <input type="text"/> <input type="text"/>