Strengthen PSNP4 Institutions and Resilience (SPIR)
Development Food Security Activity (DFSA)
MIDLINE SURVEY: <u>Household Questionnaire</u> – August 15, 2019

<u>DRAFT: For Research Purpose only</u>

Outline:

Module A: Household Identification and consent
      Part 1: Household identification, location, and consent
      Part 2: Sample verification

Module B: Household Composition and Characteristics
      Part 1: Household Roster
      Part 2: Education and Activities (age 6-13)

Module C: Sample Structure and Result of Randomization
      Part 1: Sample Structure and Result of Randomization

Module Z: Household location (GPS recording)

Universal Codes (Include with all CAPI options):

-97=Refuse to respond	-98=Don't know	-99= Not applicable

ENUMERATOR INSTRUCTIONS:

For this survey, use the following definitions of a household, household member, household head, index children and primary female and male respondents:

- **Household:** *a group of people who live and eat together, share resources and form a common decision-making unit. Often, a household is composed of a head of household, spouse(s), their unmarried children, and possibly their relatives or other persons to whom they are unrelated. The household can be limited to only one person or a person with his or her children. In a polygamous household where all the spouses do not live in the same compound as their husband, each of the spouses living elsewhere will be listed as a separate household with the persons they live with (the spouse being the head of that household). A tenant who does not take his meals where he lives is considered as a separate household. In a case where a household head lives in a compound with a spouse or spouse(s) and their children, among which some are married, each of the married children with their spouse(s) and own children and other unmarried dependents under their responsibility are all part of the same single household. In this case, all three generations (and other unmarried dependents) belong to one household. In a group of unmarried people living together where everyone has his own means of livelihood, each member of the group will form his own household.*

- **Household member:** *anyone who met the criteria for being part of the household more than six of the last twelve months, as well as anyone who recently entered the household through birth or marriage to a household member.*

- **Household head:** *the individual who plays a leading role in household decision-making, particularly concerning farming, household economic activity and expenditures. Generally, the person identified by the household as the household head is accepted in this role for the survey.*

- **Baseline index child (BIC):** *The baseline index child for this study is a randomly selected child from the baseline survey who was between the ages of 0-35.9 months at baseline. The baseline index child should be 16-52 months of age at the time of the midline survey.*

- **Midline index child (MIC):** *The midline index child for this study, if available, is a randomly selected sibling of the baseline index child between the ages of 0-23.9 months at midline. If the baseline index child does not have a sibling in this age range, the midline index child is a randomly selected child from the midline survey who is not the baseline index child and who is between the ages of 0-23.9 months at midline.*

- **Primary female respondent**: *For panel households, the primary female respondent for this study is the mother of the baseline index child. If the mother of the baseline index child is not a member of the household, the primary female respondent will be the primary female caregiver of the baseline index child. For supplemental households, the primary female respondent for this study is the mother of the midline index child. If the mother of the midline index child is not a member of the household, the primary female respondent will be the primary female caregiver of the midline index child.*

- **Primary male respondent**: *The primary male respondent for this study will be the spouse or partner of the mother of the baseline index child. If the mother of the baseline index child is not a member of the household, the primary male respondent will be the spouse or partner of the primary female caregiver of the baseline index child. If the mother or primary female caregiver of the baseline index child does not have a spouse or partner who is a member of the household, the primary male respondent will be an adult male household member who plays a leading role in household decision-making, particularly concerning farming, household economic activity and expenditures. For supplemental households, the primary male respondent for this study will be the spouse or partner of the mother/primary female caregiver of the midline index child. If the mother/primary female caregiver of the midline index child does not have a spouse or partner who is a member of the household, the primary male respondent will be an adult male household member who plays a leading role in household decision-making, particularly concerning farming, household economic activity and expenditures. Adult males should be 15 years and older.*

Module A, Part 1: Household Identification and consent

ENUMERATOR: Ask the questions below of the household head. If the household head is not available for interview, ask the spouse of the head or the next most responsible household member.

No.	Household Identification	Response		No.	Household Identification	Response	
HHID	Household Census ID (10-digit number) [CAPI to prompt enumerator: "According to our records the head of this household is [HHH NAME]. At baseline the primary female respondent was [PF NAME], and the index child was [BIC NAME]. Please confirm"	☐☐☐☐☐☐☐☐☐☐		**A06m**	Month of the first visit (mm)	☐☐	
A07	Code of Interviewer	☐☐					
A08	Code of Supervisor	☐☐		**A09**	Name of household head *(preloaded)*		
A16	Is this household part of the supplemental sample? *(preloaded)*	Yes……………...1 >> skip to A01/A01a No………………2		**A09a**	According to our records, [A09] was the name of the household head. Is [A09] still the head of the household?	☐	1. Yes >> skip to A10 2. No
A00a	GPS coordinates, Latitude (minutes) *(preloaded)*	☐☐ ☐☐☐		**A09b**	What is the name of the current household head? *If different from baseline household head.*		
A00b	GPS coordinates, Longitude (minutes) *(preloaded)*	☐☐ ☐☐☐		**A09c**	Why did the household head change since the baseline or listing (Miazia 2010)?		1. Baseline/Listing household head died 2. Baseline/listing household head left household 3. Wrong household head identified at baseline/listing 4. Household head changed for other reason >> if A16=1 skip to A10
A00c	GPS accuracy	☐☐☐☐					
A01/A01a	Region (code and name) *(preloaded)*	☐☐		**PF_NAME**	Name of primary female respondent from baseline *(preloaded)*		
A02/A02a	Zone (code and name) *(preloaded)*	☐☐		**BIC_NAME**	Name of baseline index child *(preloaded)*		
A03/A03a	Woreda (code and name) *(preloaded)*	☐☐		**PM_NAME**	Name of primary male respondent from baseline *(preloaded)*		
A04/A04a	Kebele (code and name) *(preloaded)*	☐☐	If A16=1 >> skip to A06	**A10**	Religion of household head	☐	1. Orthodox 2. Muslim 3. Protestant 7. Other
A05b	Has household moved since baseline?	☐	1. Yes 2. No >> skip to A06	**A11**	Main language of household head	☐	Code a

No.	Household Identification	Response		No.	Household Identification	Response	
A05c	Household's current Woreda (code and name)	☐☐		A12	Ethnic group of household head	☐	Code b
A05d	Household's current Kebele (code and name)	☐☐		A13	What is the relationship of the respondent to the household head?	☐	Code c >>if 1 then skip to A15d
A06	[Enumerator: Are you able to track and interview the household?]	☐	Yes…........1 >> skip to A06d No……...2	A14	Name of respondent (if different from household head)		
A06a	[Enumerator: Why were you not able to interview the household?]	☐	Found but refused to start interview……………… …1 Found but temporarily unavailable……………..2 Found but permanently unavailable……………..3 Found some members but household has dissolved…4 Household moved outside survey area………………5 Other, specify……………6	A15d	Day of the second visit (dd)	☐☐	
A06d	Day of the first visit (dd)	☐☐		A15m	Month of the second visit (mm)	☐☐	

Code a: Language	Code b: Ethnicity	Code c: Relationship to household head	
Agew-Hemrigna (Kamingigna)..........3 Amharic ....5 Oromigna ....67 Other Ethiopian languages ....91 Other foreign languages 93	Agew-Hemra (Kameng)..................... 3 Amhara (Gondere, Gayinte, Sayinte, Farte, Gojame, Dawinte, Wadle, Laste, Shewe, Tegulete) .... 5 Oromo (Gujji, Borena, Tulema, Kereyu, Gelan, Lika, Becho.Abichu, Selale, Arsi, Raya, Mecha) .... 67 Other Ethiopian nationalities 91	Household head................................. 1 Spouse of household head................. 2 Son/daughter .... 3 Daughter/son -in-law......................... 4 Grandson/daughter............................ 5 Father/mother.................................... 6 Brother/sister..................................... 7	Niece/Nephew ....8 Household head's cousin...................9 Father-in-law/mother-in-law ....10 Brother/Sister-in-law ....11 Spouse's niece/nephew.....................12 Spouse's cousin ....13 Primary caregiver...…………………14 Other...……………………...………….15

Module A, Part 2: Supplemental sample verification *(only for supplemental sample)*
Skip sub-module if A16=2

A2_01	Does your household have at least one member that is currently participating in the PSNP (any of Public Works, Permanent Direct Support or Temporary Direct Support)?		1. Yes 2. No
A2_02	Does your household have at least one member age 0-23.9 months (under 2 years of age)?		1. Yes 2. No
A2_03	Is the mother or adult female primary caregiver of at least one child age 0-23.9 months a member of this household?		1. Yes 2. No
A2_04	[Enumerator: If the answers to questions A2_01, A2_02, and A2_03 are ALL yes, this household is eligible to be included in the study and should be interviewed. If the answer is no for ANY of questions A2_01, A2_02, and A2_03, this household cannot be included in the study and will not be interviewed.] CAPI: check for A2_01=1 & A2_02=1 & A2_03=1. If yes, provide a message "This household is eligible for the interview". If any of the conditions is not met, give an message: "This household is not eligible for the interview". [Enumerator: please confirm: is this household eligible to be included in the study?]		1. Yes 2. No >> end CAPI program

CONSENT OF RESPONDENT

Please tick mark on the right box depending on the respondent's consent Consent given:	Yes	No

DD   MM   YY

Signature of the Enumerator: _____   **Date: /_____/_____/_____/**

Module B: Household Composition and Education *(only for panel households)*
Module B, Part 1: Household Roster
ENUMERATOR: Ask the questions below of the household head. If the household head is not available for interview, ask the next most responsible household member.

Member ID *(preloaded)*	BIC? *(pre-loaded)* 1=Yes 2=No	Member's name *(preloaded)*	Is [NAME] still a member of the household (he/she was [age] years when we first interviewed at baseline in 2010)? 1. Yes >> skip to B1_03a 2. No	In what month and year did [NAME] leave the household? 97=Don't know		Why did [NAME] leave the household? Marriage……..1 Left for school.2 Temporarily left for work……...3 Permanently left for work……...4 Died………..5 Divorced/Separated……….6 Other reason…7 >> skip to next member	What is [NAME]'s sex? *(preloaded)* Male…1 Female..2	What is [NAME]'s age? (in years)? If >=5, skip to B1_02	What is [NAME]'s age in months?	What is the relationship of [NAME] to the current household head?	What is [NAME]'s marital status? (ages 12 and older)	What is the highest class that [NAME] passed in school? (only for individuals 6 years and older)	What is the main current activity (by time spent) of [NAME]? (ages 12 and older)	Can [NAME] read a phrase in any local language? (ages 10 and older) 1=Yes 2=No
		Name		Year	Month		Code↑			Code a	Code b	Code c	Code d	
ID	**BIC**	**B1_00**	**B1_00a**	**B1_00b**	**B1_00c**	**B1_00d**	**B1_01**	**B1_03a**	**B1_03b**	**B1_02**	**B1_05**	**B1_06**	**B1_07**	**B1_08**

Code a: Relationship to household head

Household head 1
Spouse of household head 2
Son/daughter 3
Daughter/son -in-law 4
Grandson/daughter 5
Father/mother 6
Brother/sister 7
Niece/Nephew 8
Household head's cousin 9
Father-in-law/mother-in-law 10
Brother/Sister-in-law 11
Spouse's niece/nephew 12
Spouse's cousin 13
House help ...………………14
Stepson/stepdaughter…………16
Other 15

Code b: Marital status code

Unmarried (never married) 1
Married, monogamous 2
Married, polygamous 3
Widow/widower 4
Divorced 5
Separated/Deserted 6

Code c: Education (Highest class passed)

Never attended school 96
Reads in class I 0
Completed class I 1
Completed class II 2

Put number of highest completed class.
For example, if currently in class IV, put 3
(class III completed)

Completed class X 10
Completed Senior High School/Completed
Prep/TVEI/Grade 12 12
BA/BSC 14
Higher National Diploma 15
MA/MSC and above 16
Certificate 22
Preschool class (general) 66
Religious school 67
Literacy/Adult programs 68
Other 76

Code d: Activity

Crop Production 1
Livestock 2
Commerce or other business 3
Skilled labor 4
Unskilled labor …………………13
Employee 5
Student 6
Unpaid housework 7
Retired 8
Volunteer/unpaid apprentice 9
Looking for work 10
Other 11
None 12

Module B, Part 1a: Household Roster (new members)

B1_00	Are there any other current household members who were not mentioned?		1. Yes 2. No >> skip to next module

Member ID	Name	In what month and year did [NAME] join the household? >> if A16=1 skip to B1a_01		Why did [NAME] join the household? 1. Birth 2. Marriage 3. Was missed at baseline 4. Other	What is [NAME]'s sex? Male.... 1 Female…2	What is [NAME]'s age (in years)? If >= 5, skip to B1a_04 If >=19, skip to B1_02	What is [NAME]'s age (in months)?)	What is the relationship of [NAME] to the current household head?	What is [NAME]'s marital status? (ages 12 and older)	What is the highest class that [NAME] passed in school? (Only for individuals 6 years and older)	What is the main activity of [name]? (ages 12 and older)	Can [NAME] read a phrase in any local language? (ages 10 and older)
		Month	Year		Code↑			Code a	Code b	Code c	Code d	
MID	**Name**	**B1a_00b**	**B1a_00c**	**B1a_00d**	**B1a_01**	**B1a_03a**	**B1a_03b**	**B1a_02**	**B1a_05**	**B1a_06**	**B1a_07**	**B1a_08**

Module B, Part 1b: Household Roster *(cont.)*

ENUMERATOR: Ask the questions below of the household head. If the household head is not available for interview, ask the next most responsible household member.

For each individual less than **14** years of age, ask the following question.

ID Code *(Prefilled from Module B Part 1/1a ID)*	What is [NAME]'s birth mother's name/ID? If the birth mother is not a member of the household, what is the name/ID of the primary female caregiver of [NAME]? -99 = Not applicable
ID	**B1_04**

Module B, Part 2: Education and Activities (Age 6-13 years)

ENUMERATOR: Ask the questions below of the household head. If the household head is not available for interview, ask the next most responsible household member.

For one randomly chosen household member between the age of **6** and **13** years old, ask the following questions.

ID Code *(Prefilled from Module B Part 1/1a ID)*	Name of child aged 6 – 13 years. *(Prefilled from B1_00)*	Is the biological mother of [NAME] a member of the household? 1. Yes 2. No	Has [NAME] ever been enrolled in school? 1. Yes 2. No >> skip to next child	At what age did [NAME] start school?	Is [NAME] currently enrolled in school? 1. Yes 2. No >> skip to B2_06	Since the start of the school year [Meskerem EC 2011] how often has [NAME] attended school? 1. Always 2. More than half time 3. Half time 4. Less than half time 5. Rarely or never	In the last term, on average,how many days per week was [NAME]'s school open? >> if 0 skip to B2_06	In the last term, on average, how many days per week did [NAME] attend school?	What year (E.C.) did [NAME] stop going to school?
		Code↑		Years	Code↑	Code (b)			
ID	**B2_00**	**B2_01**	**B2_02a**	**B2_02**	**B2_03**	**B2_04**	**B2_05a**	**B2_05b**	**B2_06**

Module B, Part 2: Education and Activities (Age 6-13 years) *(cont.)*

For one randomly chosen household member between the age of **6** and **13** years old, ask the following questions.

ID Code *(Prefilled from Module B Part 1 ID)*	In the last seven days, how many minutes per day on average does this child [NAME] spend on the following activities (If none, write 0)				Work on family farm	Livestock raising	Other family or outside business	Are any of these activities physically hazardous? 1 Yes 2 No
	Household chores							
ID	**B2_07h**				**B2_07e**	**B2_07f**	**B2_07g**	**B2_08**

Module C, Part 1: Sample Structure and Result of Randomization

If A16=1, skip to C1_08

C1_01	ID of Baseline Index Child (BIC) *(preloaded)*		ID
C1_02	Name of Baseline Index Child (BIC) *(preloaded)*		Name
C1_02a	Age of baseline index child (BIC) *(from roster)*		Age in months
C1_03	ID of biological mother or primary caregiver of BIC from the baseline *(preloaded)*		ID
C1_04	Name of biological mother or primary caregiver of BIC from the baseline *(preloaded)*		Name
C1_04a	Please confirm: Is [C1_04] still a household member?		1. Yes >>skip to C1_05 2. No
C1_03b	ID of biological mother or primary caregiver of BIC from the midline *(from B1_04)*		ID
C1_04b	Name of biological mother or primary caregiver of BIC from the midline *(from B1_04)*		Name
C1_04c	Please confirm: Is [C1_04b] a household member?		1. Yes 2. No
C1_05	ID of primary male respondent *(preloaded)*		ID
C1_06	Name of primary male respondent *(preloaded)*		Name
C1_06a	Please confirm: Is [C1_06] is still a household member?		1. Yes 2. No
C1_06b	Please confirm: Is [C1_02] is still a household member?		1. Yes 2. No
C1_07	LIST status *(preloaded)*		1. LIST A 2. LIST B 3. LIST C 4. LIST D 5. No LIST
C1_08	ID of Midline Index Child (MIC) CAPI: Randomly select a sibling of the baseline index child between the ages of 0-23.9 months. If the BIC has no siblings in this age range randomly select a MIC from all household members of age <24, where BIC!=1 and B1_04!=. & B1_04!=98 (mother/female caregiver is a household member)		ID
C1_09	Name of Midline Index Child (MIC) *(from randomization of roster)*		Name
C1_09a	Age of midline index child (MIC) *(from roster)*		Age in months
C1_10	ID of biological mother or primary caregiver of MIC *(from B1_04)*		ID
C1_11	Name of biological mother or primary caregiver of MIC *(from B1_04)*		Name
C1_11a	Is [C1_11] the biological mother or the primary caregiver of the midline index child (MIC)?		1. Biological mother 2. Primary caregiver
C1_12	Does [C1_11] have a spouse or partner who is a member of the household?		1. Yes >> skip to C1_13 2. No

C1_12a	Is there an adult male member in the household?		1. Yes 2. No
C1_13	What is the name/ID of the spouse, partner or adult male member in the household?		
PF_NAME_ML	Name of primary female respondent for midline survey [CAPI instructions: if A16=2 and C1_04a=1, then PF respondent is C1_04; if A16=2 and C1_04a!=1 and C1_04c=1 then C1_04b is the PF respondent; in all other cases C1_11 is the PF respondent.		
PM_NAME_ML	Name of primary male respondent for midline survey [CAPI instructions: if A16=2 and C1_06a=1, then PM respondent is C1_06; if A16=2 and C1_06a !=1, then PM respondent is C1_13; if A16=1 PM respondent is C1_13.		

Module Z: Household location (GPS recording)

Z01	What is the best phone number to reach the household head?	
Z00a	GPS coordinates, Latitude (minutes)	
Z00b	GPS coordinates, Longitude (minutes)	
Z00c	GPS accuracy	